## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Application No.:** 

10/786,907

Filing Date:

February 25, 2004

Applicant:

Bogen et al.

**Group Art Unit:** 

1643

Examiner:

Lynn Anne Bristol

Title:

Modified Antibody

Attorney Docket:

2600-000003

Mail Stop Missing Parts
Commissioner for Patents and Trademarks
P.O. Box 1450
Alexandria, Virginia 22313-1450

## RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Sir:

Pursuant to the "Notice to comply with requirements for patent applications containing nucleotide sequence and/or amino acid sequence disclosures" mailed November 11, 2006 in the above identified application, enclosed is a **substitute copy** of the computer readable form (CRF) copy of the "Sequence ID Listing", the contents of which are included herein pursuant to 37 CFR 1.822 and/or 1.823, as well as a copy of the Notice.

Remarks begin on page 2 of this paper.

**REMARKS** 

Applicant's representative hereby provides a **substitute** copy of the "Sequence

Listing". Please enter the enclosed substitute copy of the Sequence Listing into the

application following paragraph 00198 on page 40 of the application. No new subject

matter has been added.

In addition, submitted herewith is a CD containing the substitute computer

readable form of the substitute Sequence Listing for the above-referenced patent

application in compliance with the requirements of 37 CFR 1.821, 1.822 and 1.823. The

substituted computer readable form includes no new matter.

I hereby state that the substitute Sequence Listing information recorded in

computer readable form is identical to the substitute copy of the written (paper version)

Sequence Listing being submitted herewith in accordance with 37 C.F.R. 1.821(f) and

includes no new matter as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b)

and 1.825(d).

Respectfully submitted,

Dated:

May 7, 2007

Robert M. Siminski

Reg. No. 36,007

Harness, Dickey & Pierce, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 248-641-1600 RMS/FEA/ab

Serial No. 10 /786,907

5-8-07

PTO/SB/21 (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ond to a collection of information unless it displays a valid OMD according to the colle

( ) ( ) ( ) ( ) ( ) ( )	ICRAITTAI		Application Number	10/786,90	)7	
FRANSMITTAL  Y 6 7 2007 FORM  (to be used for all correspondence after initial filing)			Filing Date	February	February 25, 2004	
			First Named Inventor	Bogen et al.		
			Art Unit	1643	1643	
			Examiner Name	Lynn Bris	Lynn Bristol	
otal Number of Pages	s in This Submission		Attorney Docket Number	2600-000	003	
-		ENCLOS	SURES (check all that apply)			
Fee Transmittal Form		☐ Drawing(s)		After Allowance Communication to Technology Center (TC)		
Fee Attached		Licensing-related Papers			Communication to Board of Is and Interferences	
Amendment / Reply		Petition			Communication to TC Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application		Proprie	etary Information	
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		☐ Status	Letter	
Extension of Time Request		Terminal Disclaimer			Enclosure(s) identify below):	
☐ Express Abandonment Request ☐ Information Disclosure Statement		☐ Request for Refund ☑ CD, Number of CD(s) 1		Notice to Comply (2 pages); Response to Notice to Comply with Requirements for Patent Applicatons Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (2 pages); Sequence Listing (10 pages); return receipt postcard		
Certified Copy of Priority Document(s)  Response to Missing Parts/		Remarks The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750.				
Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNA	TURE OF A	PPLICANT, ATTORNEY, (	OR AGENT		
Firm or Individual name	Harness, Dickey & Pierce, P.L.C		Attorney Name Robert M. Siminski		eg. No. 5,007	
Signature	Polet on Simoli			<b>_</b>		
Date May 7, 2007						
	C	ERTIFICAT	E OF TRANSMISSION/MA	ILING		
ervice with sufficier		class mail in			with the United States Postal for Patents, P.O. Box 1450,	
Typed or printed name Robert M. Siminski				Express Mail Label No.	EV 522 877 840 US (5/7/2007)	
Signature /// / / / /				D-4-	May 7, 2007	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## **Notice to Comply**

Applicant(s) Bogen et al.		
Art Unit 1643		
	Art Unit	

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with

the	requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):
$\boxtimes$	1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
	2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
	3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
	4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
	5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
	6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
	7. Other:
	plicant Must Provide: An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
⊠ sp	An initial or substitute paper copy of the "Sequence Listing", as well as an amendment ecifically directing its entry into the application.
app	A statement that the content of the paper and computer readable copies are the same and, where blicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 25(d).
Fo	r questions regarding compliance to these requirements, please contact:
Fo	r Rules Interpretation, call (703) 308-4216 or (703) 308-2923 r CRF Submission Help, call (703) 308-4212 or 308-2923 tentIn Software Program Support Technical Assistance
	To Purchase Patentin Software703-306-2600

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY

Pursuant to 37 CFR 1.821(c), a sequence identifier must be provided for any amino acid sequences of four or more residues or nucleotide sequences of 10 or more nucleotides. The specification contains the following primer sequences and linker sequence, and for which no sequence listing has been provided:

primers- p. 20, [0049]; p. 21, [0050-0051]; p. 22, [0052]; p. 22-24, [0054]; p. 24, [0056] and p. 39, [00105]; and

linker- [0029] and figures 8-11.